

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

50395-098

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)

09/807,932

INTERNATIONAL APPLICATION NO.
PCT/JP99/04294

INTERNATIONAL FILING DATE

AUGUST 6, 1999

PRIORITY DATE CLAIMED

OCTOBER 21, 1998

TITLE OF INVENTION

JUL 16 2001

EQUIPMENT AND METHOD FOR MANUFACTURING A SOOT PREFORM

APPLICANT(S) FOR DO/EO/US

KANTA YAGI, SUMIO HOSHINO, AND WATARU KIKUCHI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US)
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendment has NOT expired.
 - d. have not been made and will not be made.
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. A substitute specification.
15. A change of power of attorney and/or address letter.
16. Other items or information.
Please note that the Early Serial Number Postcard was 09/807,371. The Notification of Missing Requirements, however, indicates 09/807,372.

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PATENT TRADEMARK OFFICE

U.S. APPLIC. NO. (if known, see 37 CFR 1.492)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER		
09/807,372	PCT/JP99/04294	50395-098		
		CALCULATIONS PTO USE ONLY		
17. <input type="checkbox"/> The following fees are submitted:				
Basic National Fee (37 CFR 1.492(a)(1)-(5)):				
Search Report has been prepared by the EPO or JPO		\$860.00		
International preliminary examination fee paid to USPTO (37 CFR 1.482)		\$690.00		
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))		\$710.00		
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO		\$1,000.00		
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)		\$100.00		
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$		
Claims	Number Filed	Number Extra	Rate	
Total Claims	-20 =		x \$18.00	\$
Independent Claims	-3 =		x \$80.00	\$
Multiple dependent claim(s) (if applicable)			+ \$270.00	\$
TOTAL OF ABOVE CALCULATIONS =		\$		
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).		\$		
SUBTOTAL =		\$		
Processing fee of \$130.00 for furnishing the English translation later than the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$		
TOTAL NATIONAL FEE =		\$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		\$ 40.00		
TOTAL FEES ENCLOSED =		\$ 40.00		
		Amount to be: refunded	\$	
		charged	\$	
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.				
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of \$ <u>40.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed.				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.				
SEND ALL CORRESPONDENCE TO:				
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Facsimile (202) 756-8087				
SIGNATURE 				
Arthur J. Steiner				
NAME				
No. 26,106				
REGISTRATION NUMBER				
July 16, 2001				
DATE				

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Washington, D.C.

McDERMOTT, WILL & EMERY

FACSIMILE

FROM:

Attorney: Lorna Mullenax Direct Phone: 202-756-8513
Attorney's E-Mail: lmullenax@mwe.com
Secretary: _____ Direct Phone: _____
Client/Matter/Tkpr: 50395-098- Date: March 11, 2002 Time Sent: _____
Number of pages including this page: 7

TO:

Name: Shakeel Ahmed Facsimile No. 703-305-3230
Company: USPTO - PCT Division Contact No. 703-305-3659

Re: U.S. Patent Application Serial No. 09/807,372 - YAGI et al

Dear Mr. Ahmed:

In accordance with our phone conversation, enclosed are copies of the Response to Notification of Missing Requirements and attachments filed in the above referenced application. Also enclosed is a copy of the stamped PTO postcard acknowledgement evidencing these documents were submitted on July 16, 2001. If anything further is needed, please contact us immediately.

The official Filing Receipt is awaited.

The information contained in this facsimile message is legally privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, or copy of this facsimile is strictly prohibited. If you have received this facsimile in error, please notify us immediately by telephone and return the original message to us at the above address via the United States Postal Service. Thank you.

Applicant Kanta YAGI, et al. Docket No. 50395-098

Title/Mark: EQUIPMENT AND METHOD FOR MANUFACTURING A SOOT Serial/Reg./Patent No. 09/807,372

Date Sent: July 16, 2001 Hand Carried Fax Electronic Cert. of Mailing Express Mail No. _____

Transmittal Letter Div. PCT CPA RCE Prov

Other: _____ Trademark/Service Mark/Intent-To-Use Application

_____ pages of Specification

_____ pages of Claims

_____ pages of Abstract

_____ pages of Formal/Informal Drawings

Information Disclosure Statement Amendment to Allege Use Statement of Use

Form PTO 1449

copies of cited references

Preliminary Amendment Formal/Informal/Substitute Drawing Sheet

Response to Missing Parts Notice (no. of) Substitute/Specimens

Certified Copy of Priority Doc Continued Use Affidavit/Declaration (Section 8 & 15)

Claim for Convention Priority Notice of Opposition/Answer to

Verified Statement of Small Entity Status Ex Parte Appeal

Transmittal of Issue Fee: Form PTO 85b Petition for Cancellation/Answer To

Maintenance Fee for _____ years after grant Renewal of Reg. No.

Notice of Appeal Combined Declaration/Power of Attorney

Recordation of _____ Patent Letter of Protest

Response/Amendment to Office Action of _____ Request for _____ day/month Extension of Time

Other **Response To Notification Of Missing Requirements** Request for Non-Publication

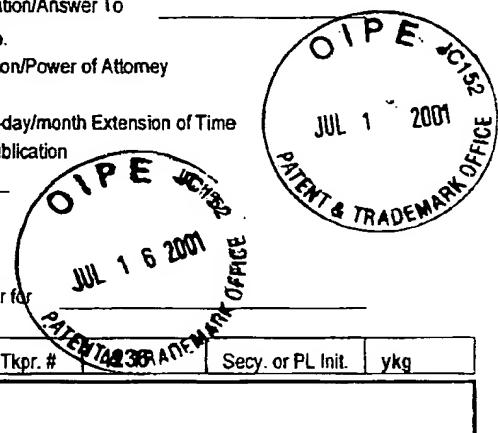
Assignments/Security Agreements/

Petition to Commissioner for

Check for \$ Charge Deposit Acct. 500417 \$ 0 Atty Init. AJS Tkpr. # 7436 Secy. or PL Init. ykg

CMS Descrip.: _____

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.



*** RX REPORT ***

RECEPTION OK

TX/RX NO	5784
CONNECTION TEL	804 553 1931
SUBADDRESS	
CONNECTION ID	M W & E
ST. TIME	03/11 15:40
USAGE T	07 '07
PGS.	7
RESULT	OK

*** TX REPORT ***

TRANSMISSION OK

TX/RX NO	3947
CONNECTION TEL	92027568087
SUBADDRESS	
CONNECTION ID	
ST. TIME	03/11 11:29
USAGE T	00 '52
PGS. SENT	2
RESULT	OK



PCT OPERATIONS

FACSIMILE TRANSMISSION COVER SHEET

DATE: 11 MAR 2002

TO: Lorraine

REF# 50395 - 098

TELEPHONE: (202) 756 - 8000

FAX NO.: (202) 756 - 8087

FROM: Shakeel Ahmed.

TELEPHONE: 703 - 305 - 3659

FAX NO.: 703-308-4785 OR 703-305-3230